

# VLI Section 3 Summary Report

Economic Opportunities for

Low – and Very Low-Income Persons



**CONNECTICUT  
HOUSING  
FINANCE AUTHORITY**

Unlocking Solutions, Building Strong Communities.

Section back of page for Public Reporting Burden statement

|                                                         |                      |                               |
|---------------------------------------------------------|----------------------|-------------------------------|
| 1. Recipient Name & Address: (street, city, state, zip) | 2. Project Name:     | 3. CHFA Project Number:       |
|                                                         | 4. Contact Person    | 5. Phone: (Include area code) |
|                                                         | 6. Reporting Period: | 7. Date report submitted:     |

**Part I: Employment and Training** (\*\* Columns B, C and F are mandatory fields. Include New Hires in E &F)

| A<br>Job Category                     | B<br>Number of<br>New Hires | C<br>Number of New<br>Hires that are<br>Sec. 3 Residents | D<br>% of Aggregate Number<br>of Staff Hours of New Hires<br>that are Sec. 3 Residents | E<br>% of Total Staff Hours<br>for Section 3 Employees<br>and Trainees | F<br>Number of Section 3<br>Trainees |
|---------------------------------------|-----------------------------|----------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------------------|--------------------------------------|
| Professionals                         |                             |                                                          |                                                                                        |                                                                        |                                      |
| Technicians                           |                             |                                                          |                                                                                        |                                                                        |                                      |
| Office/Clerical                       |                             |                                                          |                                                                                        |                                                                        |                                      |
| Construction by Trade (List)<br>Trade |                             |                                                          |                                                                                        |                                                                        |                                      |
| Trade                                 |                             |                                                          |                                                                                        |                                                                        |                                      |
| Trade                                 |                             |                                                          |                                                                                        |                                                                        |                                      |
| Trade                                 |                             |                                                          |                                                                                        |                                                                        |                                      |
| Trade                                 |                             |                                                          |                                                                                        |                                                                        |                                      |
| Other (List)                          |                             |                                                          |                                                                                        |                                                                        |                                      |
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|                                       |                             |                                                          |                                                                                        |                                                                        |                                      |
|                                       |                             |                                                          |                                                                                        |                                                                        |                                      |
| Total                                 |                             |                                                          |                                                                                        |                                                                        |                                      |

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**Part II: Contracts Awarded**

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**1. Construction Contracts:**

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|                                                                                   |       |
|-----------------------------------------------------------------------------------|-------|
| A. Total dollar amount of all contracts awarded on the project                    | \$    |
| B. Total dollar amount of contracts awarded to Section 3 businesses               | \$    |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses | D. %  |
| E. Total number of Section 3 businesses receiving contracts                       | F. G. |

**2. Non-Construction Contracts:**

|                                                                                       |    |
|---------------------------------------------------------------------------------------|----|
| A. Total dollar amount all non-construction contracts awarded on the project/activity | \$ |
| B. Total dollar amount of non-construction contracts awarded to Section 3 businesses  | \$ |
| C. Percentage of the total dollar amount that was awarded to Section 3 businesses     | %  |
| D. Total number of Section 3 businesses receiving non-construction contracts          |    |

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by CHFA funding, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or non-metropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youth build Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

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Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-1 08 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

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## CHFA VLI, Section 3 Summary Report, Form 60002, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

CHFA VLI Section 3 Summary Report, Form 60002, has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted with an advance (when applicable) or via email to [vli@chfa.org](mailto:vli@chfa.org), within 30 days of the date reported on line 7 (date report submitted). **Only Prime Recipients are required to report to CHFA. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Project Name: Enter the full name of the project.
3. CHFA Project Number.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the recipient's implementation of the information on this form.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

### Part I: Employment and Training Opportunities

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

#### Part II: Contract Opportunities

##### Block 1: Construction Contracts

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

##### Block 2: Non-Construction Contracts

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

#### Part III: Summary of Efforts – Self-explanatory

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Submit one (1) copy of this report to CHFA either via an advance submission or via email submission to [vli@chfa.org](mailto:vli@chfa.org). The Section 3 report is submitted anytime points are being claimed from this point category. Include only contracts executed during the period specified in item 6.

\* The terms "low-income persons" and very low-income persons have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except

that The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

## **Supplemental Information**

Part I of CHFAVLI Section 3 Summary Report (Form 60002) must be completed if, as a result of efforts undertaken [as indicated in the CHFA Very Low-Income (“VLI”) Construction Employment Status Report], the Mortgagor or the General Contractor hired (during the reporting period) VLI residents directly on either a permanent or temporary basis to perform work generated by a Connecticut Housing Finance Authority (“CHFA”) multifamily mortgage and/or Low-Income Housing Tax Credits (“LIHTCs”).

The information will be used by CHFA to monitor compliance with its VLI Construction Employment Policy.

11/01/2024